ISDA® Safe, Efficient Markets

Data & Reporting Governance Framework

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IIGC

Data & Reporting RIC

Appointed workstream chairs and full IIGC representation
Programme Oversight and direction Setting

Industry Chairs: Stuart McClymont (DB) / Oliver Stuart (MS)
ISDA Chair: Karel Engelen(NYC)
PMO: Sapient

Expanded ISDA

Coordination with (i) other IIGC Forums and (ii) Regional Policy Leads

FPML Standards Committee

Technical data standards oversight

Regulators

Communications*

- FMLG
- ISDA Legal WG
- ISDA EMIR 1 WG
- ISDA Product WGs
- SIFMA/AFME
- FOA
- Vendors

*Accountable owners will be determined for RIC participation

Reference Data Working Group

Appointed Ref Data workstream with dedicated working group

Coordination of efforts relating to entity, transaction & product definition standards

- Product Identifiers
- Industry Reference Data
- Components & attributes
- Global Harmonisation
- LEI/CICI
- Hierarchies

ISDA Chair: Clive Ansell (Ldn)
Industry Chair: TBD
PMO: Sapient

Workflow Working Group

Appointed workflow workstream with dedicated working group

Coordination of efforts relating to reporting workflows & technical application of data standards

- UTI/USI
- Post Execution Allocations
- Workflows
- Lifecycle Events
- Captive SDRs
- Multiple SDRs
- Alpha Trades
- PB Transactions

ISDA Chair: Karel Engelen (NYC)
Industry Chair: Tara Kruse (MS)
PMO: Satori

US Compliance Reporting WG

Compliance workstream with dedicated US working group

Coordination of US regulatory discussions with CFTC & SEC

- Negative Affirmation
- Privacy Laws
- Extra-territoriality
- SEC Compliance Dates
- Complex & Bespoke
- Post Price Swaps
- Compliance Date 3
- CICI

ISDA Chair: Karel Engelen (NYC)
Ind. Chair: Catherine Napolitano (BAML)
PMO: Sapient

EU Compliance Reporting WG

Compliance workstream with dedicated EU working group

Coordination of EU regulatory discussions between ESMA & other National Competent Authorities

- Product Identification
- FAQ Responses
- Cpty Identification
- EU Workflows
- EU Reference Data
- Regulator Interactions

ISDA Chair: Clive Ansell (LDN)
Industry Chair: Paul Nichol (BAML) / Carole Sandrini (SG)
PMO: Sapient

Asia Compliance Reporting WG

Compliance workstream with proposed working group

Coordination of regulatory discussions and developments across Asia regulators

- ASIA | JFSA
- ASIA | MAS
- ASIA | HKMA

ISDA Chair: Karel Engelen(NYC)
Industry Chair: TBD
PMO: Sapient

Industry Outreach & Communications*

KEY: Established ISDA Forum | Pending ISDA Forum | Working Group focus areas | Update Forum Only