

October 2012

ISDA in Review is a compendium of links to new documents, research papers, press releases and comment letters from the Association.

NOTABLE DEVELOPMENTS & DOCUMENTS

<u>CFTC No-Action Letters, FAQs and Q&As - ISDA/SIFMA/FIA Call, Oct 25</u> Available for replay in the Members Portal, login required

Hurricane Sandy Guidance, updated October 30

Webinars on August 2012 D-F Protocol, DTCC's CICI Utility and ISDA Amend with Markit

<u>Asia-Pacific Regulatory Profiles - October 2012</u> - a summary of key institutions, regulatory milestones and ISDA submissions for Australia, China, Hong Kong, India, Indonesia, Korea, Malaysia, New Zealand, Singapore and Taiwan.

PUBLIC POLICY

Europe:

ISDA Comments on the European Parliament vote on MiFID

<u>Joint Associations Committee (JAC) Position Paper: Draft regulation on key information documents</u> for retail investment products

JAC Response to EC Consultation Document - UCITS Product Rules, Liquidity Management, Depositary, Money Market Funds, Long-Term Investments

ISDA Commentary on MiFID: Large-in-scale derivatives transactions

ISDA/AFME Response on EU Regulation on CDS and Short Selling (ESMA Consultation on market-making exemption)

North America:

<u>CFTC No-Action Letters, FAQs and Q&As - ISDA/SIFMA/FIA Call, Oct 25</u> Available for replay in the Members Portal, login required

Comment Letter to CFTC on on the Reporting of Post-Priced Swaps

<u>Letter to OCC, Board of Governors of the Fed, and FDIC: Notice of Proposed Rulemaking on Regulatory Capital Rules - Standardized Approach for Risk-weighted Assets; Market Discipline and Disclosure Requirements</u>

<u>Letter to CFTC regarding Further Definition of "Swap", "Security-Based Swap", and "Security-Based Swap Agreement"; Mixed Swaps; Security-Based Swap Agreement Recordkeeping</u>

Japan:

<u>Firms that submitted a Confirmation on Mandatory Clearing Obligations of the OTC Derivatives Transactions</u>

LEGAL & DOCUMENTATION

ISDA October 2012 DF Supplement (DRAFT)

Updated August 2012 DF Protocol documents

TECHNOLOGY INFRASTRUCTURE

ISDA to Further Develop CCS, the Clearing Connectivity Standard, in Conjunction with Sapient Global Markets

RISK MANAGEMENT

Letter to Risk Management Group (BIS) and CPSS-IOSCO on BCBS 227

DETERMINATIONS COMMITTEES

Determinations Committees updates on Oji Paper Co. and El Paso Corporation



Visit <u>derivatiViews</u> for commentary from the ISDA executive office, and <u>media.comment</u> for insights on mainstream business and financial media coverage of the derivatives industry

ISDA In Review from previous months can be found at http://www2.isda.org/newsroom/isda-in-review/
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