

2018 ISDA Canada Conference

Canadian Regulatory Updates

Friday, September 21, 2018

The Omni King Edward Hotel

Toronto

Educational Credits

7.5 CLE Credit Hours Available (New York)

Transitional and Nontransitional

7.5 CPE Credit Hours Available

8:15 AM

Registration and Continental Breakfast

8:45 AM

Introduction and Welcoming Remarks

9:00 AM

The Ontario Securities Commission's New Whistleblower Program

The following will be covered in this session:

- An overview of the key elements of the OSC's whistleblower program, including eligibility, financial incentive and whistleblower protections
- Market participant preparedness: fostering a culture of compliance and encouraging internal reporting

9:15 AM

Canadian Reporting Update and Global Data Harmonization

This panel will provide an overview of current Canadian reporting regulations and status. In addition, the panel will discuss work done by ISDA and the regulators globally to improve data quality and address data aggregation challenges.

10:15 AM

Morning Break

10:30 AM

Canadian Regulatory Updates

Updates on recent regulations and current status:

- 94-101 – Mandatory Central Counterparty Clearing of Derivatives
- 91-407 – Dealer Registration
- 93-101 – Derivatives: Business Conduct

11:45 AM

Benchmark Reform and Fallbacks

An overview of the work that has been conducted by the Alternative Reference Rates Committees to implement alternatives to LIBOR and work being conducted by ISDA on fallback mechanisms to the new rates. In addition, the panel will cover the implementation of the EU Benchmark Regulations that took effect January 2018.

12:45 PM

Luncheon

1:45 PM

European Regulatory Updates

Updates on recent regulations and current status:

- Proposed EMIR Refit and EMIR 2.0
- Cross-Border Harmonization
- European Implementation of Basel 3
- MiFID II
- Current Implications of Brexit

2:30 PM

Afternoon Break

2:45 PM

Regulatory Initial Margin and ISDA Create

An overview of the Initial Margin (IM) regulations and ISDA's new IM CSAs for entities in scope for the new regulations in 2019 and 2020, including ISDA's new ISDA Create service for electronic negotiation of the new IM documents..

3:15 PM

New US Stay Regulations and 2018 ISDA Resolution Stay Protocol

In 2017, the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC) and the Office of the Comptroller of the Currency (OCC) each issued final regulations requiring contractual recognition of stays under Title II of the Dodd-Frank Act and the Federal Deposit Insurance Act. These regulations also require covered financial institutions to ensure that their counterparties and counterparties of their affiliates would be temporarily stayed from exercising default rights and other remedies if certain of their affiliates enter proceedings under the US Bankruptcy Code. This panel will provide an overview of these regulations and cover the various potential methods for complying with the new requirements and ISDA's implementation in the 2018 ISDA Resolution Stay Protocol.

4:30 PM

Conference Concludes

Venue Location:

The Omni King Edward, 37 King Street East, Toronto, ON M5C 1E9, Phone: +1 416-863-9700

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