

## DerivCon 2019

Wednesday, February 27, 2019  
New York



Proposed changes to the CFTC's swap execution facility (SEF) rules could fundamentally change what classifies as a SEF and the products traded on venue. DerivCon 2019 delves into the detail of what these proposals mean, both for US and overseas market participants, and explores other key derivatives issues like Brexit, Benchmarks and Technology.

**DerivCon was founded by and is sponsored by The Wholesale Markets Brokers' Association, Americas (WMBAA)**

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### - REGISTRATION FEE -

	Member	Non-Member
Regular	\$550 U.S.	\$550 U.S.
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Regulators/ Government Agencies	<a href="#">Regulator Registration Form</a>	

8:15 AM

### Registration and Continental Breakfast

9:00 AM

### Welcoming Remarks

*Larry Tabb, Founder & Research Chairman, TABB Group*

9:10 AM

### Introduction

*Scott O'Malia, Chief Executive Officer, ISDA*

9:25 AM

## SEF Rules and Beyond

What do the new proposed SEF rules mean for market participants and trading venues? Will the proposed changes increase the volume of derivatives traded on venue? This panel will hear views from regulators, SEFs and buy-side and sell-side participants.

**Moderator: Scott O'Malia**, Chief Executive Officer, ISDA

**Darcy Bradbury**, ISDA Board Member, Managing Director, D. E. Shaw & Co., L.P.

**Christopher Koppenheffer**, Chief Operating Officer, SEF, TP ICAP

**Darren A. Littlejohn**, Partner, Fried, Frank, Harris, Shriver & Jacobson LLP

**Nhan Nguyen**, Special Counsel, Division of Market Oversight, U.S. Commodity Futures Trading Commission

**Michael J. Otten**, Executive Director, Global Markets Legal, Nomura Americas

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10:25 AM

## Keynote Address

**Brian D. Quintenz**, Commissioner, U.S. Commodity Futures Trading Commission

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10:45 AM

## Coffee Break

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11:15 AM

## Trading Across Borders

Will the proposed changes to the SEF rules impact the agreement with the EU on the recognition of trading venues? How will CFTC Chairman Giancarlo's proposed changes to the cross-border framework affect cross-border trading and equivalence? How are other jurisdictions approaching trading venue rules? How will Brexit impact trading on venues?

**Moderator: Bella Rozenberg**, Senior Counsel & Head of Regulatory and Legal Practice Group, ISDA

**Gregory Compa**, US Head of Compliance, Tradeweb

**Matthew Vosburgh**, Director, Regulatory Execution Compliance, Barclays

**Amir Zaidi**, Director, Division of Market Oversight, U.S. Commodity Futures Trading Commission

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12:00 PM

## All Clear?

The default at Nasdaq Clearing has refocused attention on CCP best practices. What – if anything – needs to change? This panel will hear the views of clearing participants, CCPs and regulators.

**Moderator: James Rundle**, Editor, Waters Magazine, Waters Technology.com

**Ruth Arnould**, Managing Director, Associate General Counsel, Bank of America Merrill Lynch

**John Horkan**, Group Chief Operating Officer and Head of North America, LCH

**Rajalakshmi Ramanath**, Executive Director, CIB Clearinghouse Risk, J.P. Morgan

**William C. Thum**, Principal, Global Head of Capital Markets Legal and Regulatory, The Vanguard Group, Inc.

**Bob Wasserman**, Chief Counsel, Division of Clearing and Risk, U.S. Commodity Futures Trading Commission

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12:45 PM

**Lunch**

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2:00 PM

**Keynote Address**

*Dan M. Berkovitz, Commissioner, U.S. Commodity Futures Trading Commission*

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2:20 PM

**Going Automatic**

Derivatives market participants are looking for opportunities to automate post-trade processes and collateral exchange to reduce costs and drive efficiencies. What will it take to transform?

**Moderator: Larry Tabb**, Founder & Research Chairman, TABB Group

**Daniel Attanasio**, Manager, Product Development, LCH

**Amy Caruso**, Chief Commercial Officer, DTCC-Euroclear Global Collateral

**Charles Post**, Managing Counsel & Director, Head of Legal Data Management & Advisory, BNY Mellon

**John Pucciarelli**, Director of Strategic Initiatives, AcadiaSoft, Inc.

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3:00 PM

**Choosing Where and How to Trade**

What's driving the decision to trade on venue versus off-venue? This panel will explore market liquidity, access, and reporting and transparency requirements, as well as proposed changes to pre-execution communication, execution of block and package transactions and straight-through processing, and explore their influence on decision-making.

**Moderator: Russell Rhoads**, Head of Derivatives Research, TABB Group

**Stephen Berger**, Managing Director, Global Head of Government and Regulatory Policy, Citadel

**Rosario Chiarenza**, Executive Director, Head of Regulatory Reform Strategy, Morgan Stanley

**Anthony Perrotta, Jr.**, Head of Product Strategy - Americas, Fenics

**Nicholas Van Dusen**, Associate General Counsel, Goldman, Sachs & Co.

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3:45 PM

**Coffee break**

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4:00 PM

**Benchmark Transformation**

Work is progressing on initiatives to adopt risk-free rates as an alternative to interbank offered rates. How is this influencing trading strategies, and how can trading of SOFR-linked products be encouraged? What are the US regulatory issues associated with benchmark transition, and will legacy trades that shift to alternative risk-free rates be subject to trading, clearing and margin requirements?

**Moderator: George Bollenbacher**, Head of Fixed Income Research, TABB Group

**Ann M. Battle**, Assistant General Counsel, ISDA

**Jack Hattem**, ISDA Board Member, Managing Director, Global Fixed Income, BlackRock

**Dan Marcus**, Global Head of Strategy and Business Development, Tradition

**Alexis Pederson**, Senior Counsel, Wells Fargo

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4:50 PM

### **Keynote Address**

**J. Christopher Giancarlo**, Chairman, U.S. Commodity Futures Trading Commission

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5:20 PM

### **Conference Concludes**

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*Agenda is subject to change.*

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## **Venue Location:**

Convene, 117 West 46th Street (between 6th & 7th Avenues), New York, NY 10036, Phone: (888)-730-7307. Please find a map to the venue [here](#).

**ISDA has a special rate available at the Omni Berkshire Place**, 21 East 52nd Street, New York, NY 10022, +1 212-753-5800, located on the same block as the ISDA Conference Center. For reservations online [click here](#) OR call the Reservation Center at +1 800-843-6664 and mention the International Swaps and Derivatives Association, Inc. (ISDA) special rate. ISDA's special rate is based on availability at the time of booking.

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